

PATENT Customer Number 22,852 Attorney Docket No. 4853.0023-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS AND TRADEMARKS Washington, D.C. 20231

Prior Application Art Unit: 09/373,995 Prior Application Examiner: Gupta, A.

SIR: This is a request for filing a



- 1. Enclosed is a complete copy of the prior application including the oath or Declaration and drawings, if any, as originally filed. I hereby verify that the attached papers are a true copy of prior application Serial No. 09/373,995 as originally filed on August 16, 1999, which is incorporated herein by reference.
- 2. Enclosed is a substitute specification under 37 C.F.R. § 1.125.
- 3. Enclosed is a Request for Non-Publication of Application and Certification Under 35 U.S.C. § 122(b)(2)(B)(i).
- 4. Cancel Claims.
- 5. A Preliminary Amendment is enclosed.
- 6. The filing fee is calculated on the basis of the claims existing in the prior application as amended at 4 and 5 above.

Basic Application Filing Fee						\$	\$740.00
	Number of Claims		Basic	Extra Claims			
Total Claims	2	-	20	0	x \$18		0.00
Independent Claims	2	-	3	0	x \$84		0.00
☐ Presentation of Multiple Dep. Claim(s) +\$280							0.00
Subtotal							740.00
Reduction by 1/2 if small entity						-	0.00
TOTAL APPLICATION FILING FEE						\$	740.00

FINNEGAN HENDERSON FARABOW GARRETT& DUNNER LLP

1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com

FINNEGAN
HENDERSON
FARABOW GARRETT&
DUNNERLE

1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com PATENT Customer No. 22,852 Attorney Docket No. 4853.0023-02 Page 2 of 4

7.	\boxtimes	A check in the amount of \$740.00 to cover the filing fee is enclosed.

- 8. The Commissioner is hereby authorized to charge any fees which may be required including fees due under 37 C.F.R. § 1.16 and any other fees due under 37 C.F.R. § 1.17, or credit any overpayment during the pendency of this application to Deposit Account No. 06-0916.
- 9. Amend the specification by inserting before the first line, the following new paragraph:

This is a division of Application No. 09/373,995, filed August 16, 1999, which is a division of Application No. 08/949,038, filed October 10, 1997, which is a CIP of Application No. PCT/JP95/02091 filed October 12, 1995, and PCT/JP96/00994 filed April 11, 1996, which claims the right to priority based on Japanese Application No. 86935/1995, filed April 12, 1995, all of which are incorporated herein by reference.

- 10. A Submission of Sequence Listing under 37 C.F.R. § 1.821(e) has been enclosed.
- 11. The prior application is assigned of record to: Kyowa Hakko Kogyo Co., Ltd.
- 12. Priority of Application No. 86935/1995, filed on April 12, 1995 in Japan is claimed under 35 U.S.C. § 119. A certified copy
 - \square is enclosed or \square is on file in the prior application.
- 13. Small entity status is appropriate and applies to this application.
- The power of attorney in the prior application is to at least one of the following: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., Douglas B. Henderson, Reg. No. 20,291; Ford F. Farabow, Jr., Reg. No. 20,630; Arthur S. Garrett, Reg. No. 20,338; Donald R. Dunner, Reg. No. 19,073; Brian G. Brunsvold, Reg. No. 22,593; Tipton D. Jennings, IV, Reg. No. 20,645; Jerry D. Voight, Reg. No. 23,020; Laurence R. Hefter, Reg. No. 20,827; Kenneth E. Payne, Reg. No. 23,098; Herbert H. Mintz, Reg. No. 26,691; C. Larry O'Rourke, Reg. No. 26,014; Albert J. Santorelli, Reg. No. 22,610; Michael C. Elmer, Reg. No. 25,857; Richard H. Smith, Reg. No. 20,609; Stephen L. Peterson,

Customer No. 22,852 Attorney Docket No. 4853.0023-02 Page 3 of 4

PATENT

Reg. No. 26,325; John M. Romary, Reg. No. 26,331; Bruce C. Zotter, Reg. No. 27,680; Dennis P. O'Reilley, Reg. No. 27,932; Allen M. Sokal, Reg. No. 26,695; Robert D. Bajefsky, Reg. No. 25,387; Richard L. Stroup, Reg. No. 28,478; David W. Hill, Reg. No. 28,220; Thomas L. Irving, Reg. No. 28,619; Charles E. Lipsey, Reg. No. 28,165; Thomas W. Winland, Reg. No. 27,605; Basil J. Lewris, Reg. No. 28,818; Martin I. Fuchs, Reg. No. 28,508; E. Robert Yoches, Reg. No. 30,120; Barry W. Graham, Reg. No. 29,924; Susan Haberman Griffen, Reg. No. 30,907; Richard B. Racine, Reg. No. 30,415; Thomas H. Jenkins, Reg. No. 30,857; Robert E. Converse, Jr., Reg. No. 27,432; Clair X. Mullen, Jr., Reg. No. 20,348; Christopher P. Foley, Reg. No. 31,354; John C. Paul, Reg. No. 30,413; David M. Kelly, Reg. No. 30,953; Kenneth J. Meyers, Reg. No. 25,146; Carol P. Einaudi, Reg. No. 32,220; Walter Y. Boyd, Jr., Reg. No. 31,738; Steven M. Anzalone, Reg. No. 32,095; Jean B. Fordis, Reg. No. 32,984; Roger D. Taylor, Reg. 28,992; Barbara C. McCurdy, Reg. No. 32,120; James K. Hammond, Reg. No. 31,964; Richard V. Burgujian, Reg. No. 31,744; J. Michael Jakes, Reg. No. 32,824; Thomas W. Banks, Reg. No. 32,719; Christopher P. Isaac, Reg. No. 32,616; Bryan C. Diner, Reg. No. 32.409; M. Paul Barker, Reg. No. 32,013; Andrew Chanho Sonu, Reg. No. 33,457; David S. Forman, Reg. No. 33,694; Vincent P. Kovalick, Reg. No. 32.867; James W. Edmondson, Reg. No. 33,871; Michael R. McGurk, Reg. No. 32,045; Joann M. Neth, Reg. No. 36,363; Gerson S. Panitch, Reg. No. 33,751; Cheri M. Taylor, Reg. No. 33,216; Charles E. Van Horn, Reg. No. 40,266; Linda A. Wadler, Reg. No. 33,218; Jeffrey A. Berkowitz. Reg. No. 36,743; Michael R. Kelly, Reg. No. 33, 921; James B. Monroe, Reg. No. 33,971; Doris Johnson Hines, Reg. No. 34,629; Allen R. Jensen, Reg. No. 28,224; Lori Ann Johnson, Reg. No. 34,498; David A. Manspeizer, Reg. No. 37,540; John Rissman, Reg. No. 33,764; M. Lawrence Oliverio, Reg. No. 30,915; Therese Hendricks, Reg. No. 30,389, and Rebecca McNeill, Reg. No. 43,796.

15. \square The power appears in the original declaration of the prior application.

16. Since the power does not appear in the original declaration, a copy of the power in the prior application is enclosed.

17. Please address all correspondence to FINNEGAN, HENDERSON, FARABOW, GARRETT and DUNNER, L.L.P., 1300 I Street, N.W., Washington, D.C. 20005-3315, Customer Number 22,852.

FINNEGAN HENDERSON FARABOW GARRETT & DUNNER LLP

1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com

PATENT Customer No. 22,852 Attorney Docket No. 4853.0023-02 Page 4 of 4

18.		Recognize as associate at	torney	
19.		Also enclosed is		
applic filed / applic such neces	cation, i August cation a an exte ssary fe	ncluding any extension in the 16, 1999, for the purpose of and this application, and such this application, and such that is hereby requested,	ne pare f mainta th exter and the our De	of time is necessary for the filing of this nt application, serial no. 09/373,995, aining copendency between the parent nsion has not otherwise been requested, a Commissioner is authorized to charge eposit Account No. 06-0916. A duplicate ng the deposit account.
			FINN	EGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.
Date	d: Janu	uary 22, 2002	Ву:	Rebecca M. McNeill Rebecca M. McNeill Reg. No. 43,796

FINNEGAN HENDERSON FARABOW GARRETT& DUNNER LLP

1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com

PATENT Customer Number 22,852 Attorney Docket No. 4853.0023-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
V 0-1) Prior Appln.
Y. Sakagami et al.) Group Art Unit: 1653
Serial No.: not assigned) Prior Appln.
(Divisional of 09/373,995)) Examiner: A. Gupta
)
Filed: concurrently)
For: Plant Growth Factor	,
Assistant Commissioner for Patents Washington, DC 20231	
Sir:	

SUBMISSION OF SEQUENCE LISTING UNDER 37 C.F.R. § 1.821 (e)

Concurrently with filing the divisional application papers, Applicants enclose a paper copy of the Sequence Listing in this application. Applicants refer to the Sequence Listing and the computer readable form thereof filed March 9, 1999, in parent application U.S. Serial No. 08/949,038, which contains sequence information identical to that of the present application. Applicants hereby request, therefore, that the Sequence Listing of the parent application be used to fulfill the sequence disclosure requirements for this application pursuant to 37 C.F.R. § 1.821(e). The paper copy of the Sequence Listing enclosed is identical to the computer readable copy filed in the parent application. Applicants request examination of the instant application on the merits in due course.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Rebecca Minerell

Dated: January 22, 2002

Rebecca M. McNeill Reg. No. 43,796

1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com

FINNEGAN HENDERSON

FARABOW

GARRETT &
DUNNER LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket No:04853-0023

In re patent application of

Sakagami, Youji et al.

Serial No. 08/949,038

Filed: October 10, 1997

For: Plant Growth Factor

STATEMENT TO SUPPORT FILING AND SUBMISSION IN ACCORDANCE WITH 37 C.F.R. §\$ 1.821-1.825

Assistant Commissioner for Patents Washington, D.C. 20231
Box SEQUENCE

Sir:

In connection with a Sequence Listing submitted concurrently herewith, the undersigned hereby states that:

- 1. the submission, filed herewith in accordance with 37 C.F.R. § 1.821(g), does not include new matter;
- 2. the content of the attached paper copy and the attached computer readable copy of the Sequence Listing, submitted in accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same; and
- 3. all statements made herein of their own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United

States Code and that such willful false statements may jeopardize the validity of the application or any patent resulting therefrom.

Respectfully submitted,

James A. Coburn

Date

HARBOR CONSULTING
Intellectual Property Services
1500A Lafayette Road
Suite 262
Portsmouth, N.H.
800-318-3021

2